



## Capacity Building to Facilitate Implementation of the Effort Sharing Legislation, with Focus on Ex-post Evaluation and Policy Lessons Learned

Presentation to WG2. 20 February 2019  
CLIMA/C.2/SER/2018/0006

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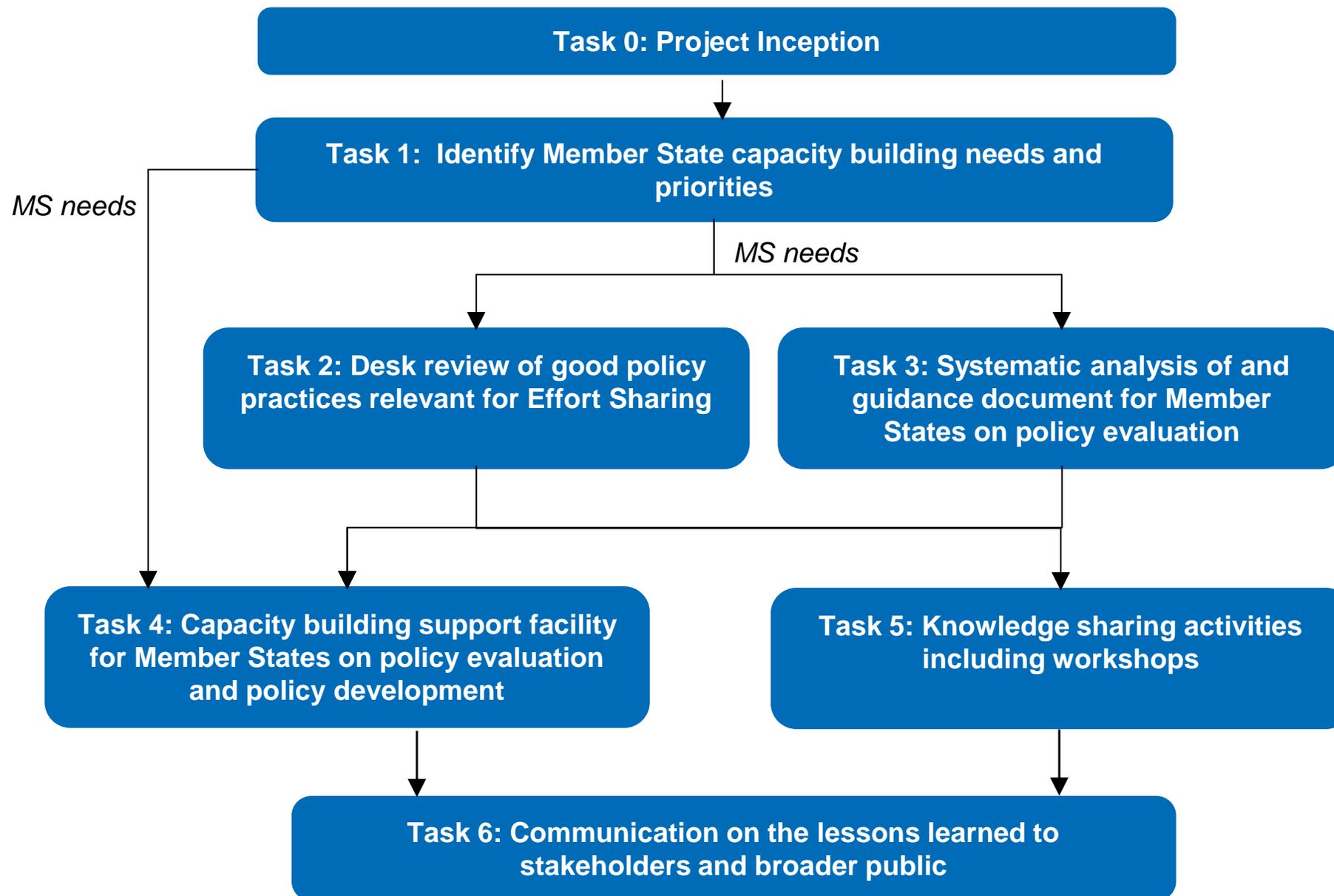
- The objectives and scope of the study
- Introduction to tasks of study: includes
  - Case studies of policy development and implementation
  - Guidance on ex-post evaluation
  - Capacity building for up to 10 Member States
  - Knowledge sharing workshops on policy development and implementation (3) and ex-post evaluation (1)
- Introduction to survey supporting the study (circulated last week and due for return by 8 March)

## Objectives of the study (as in the ToR)



- General objective is to
  - Promote GHG emissions reductions in the EU by strengthening Member States' capacity to implement, and meet their objectives under, the effort sharing legislation
- Specific objectives are to
  - Identify and share **good practices of policies and measures** in Member States which reduce emissions in effort sharing sectors;
  - Improve Member States' **capacity for performing ex-post policy evaluations**; and
  - **Support Member States** in developing appropriate policy measures and in conducting ex-policy evaluations.
- Timescale: calendar years 2019 and 2020

# Sequencing of tasks



# Task 1: Identify Member State Capacity Building Needs and Priorities

**Objectives: To identify Member States' capacity building needs and priorities with respect to the design, implementation and evaluation of policies and measures**

## **Outputs:**

- **Survey**
- **Priority list of capacity building needs at Member State level showing:**
  - **Member States which appear to have the highest need of capacity building (informs Task 4)**
  - **Grouping of Member States based on common capacity building needs (informs Task 4)**
  - **Identification of potential activities that may be candidates for more extended support (informs Task 4)**
- **Identification of best practice policy case studies (informs Task 2), and ex-post evaluation studies (informs Task 3).**

## **Sub-tasks**

- Gather existing information on capacity building needs and priorities
- Design the survey
- Conduct the survey
- Follow-up interviews
- Analyse survey results

## **Timescale**

- Survey issued on 14 February
- **Please return by 8 March at latest**

# Task 2: Desk Review of Good Policy Practices Relevant for Effort Sharing Emissions

**Objectives:** To identify and develop case studies of good practice examples of national policies and measures which Member States can implement to help to deliver their targets under the ESR

## **Outputs:**

- Draft interim report containing conclusions from the desk-based review and the survey regarding best practices
- 6 good practice case studies
- Recommendations on the reporting of policies and measures
- Excel database of policy practices identified in the desk-based review (i.e. data repository)

## **Sub-tasks**

- High-level review of GHG mitigation policies in effort sharing sectors
- Selection of case studies
- Agreement of the case study structure
- Data gathering
- Preparation of the case studies

## **Timescale**

- Develop 3 case studies in 2019
- And a further 3 by 2020

# Task 3: Systematic Analysis of and Guidance Document for Member States on Policy Evaluation

## Objectives:

- To compile a commented bibliography of ex-post evaluations of national and EU policies relevant for ESR sources and sectors
- To gather data on the impacts of specific policies and measures, to supplement data reported under the MMR
- To systematically analyse previous evaluation to identify key lessons learnt
- To prepare guidance for Member States on good evaluation practice and methodologies

## Outputs:

- Commented bibliography of evaluations (possibly as an xls file)
- Guidance document for Member States on good evaluation practice and methodologies

## Sub-tasks

- Agreement of the priority evaluation issues
- Design of the evaluation review template
- Data collection
- Systematic analysis of evaluation issues
- Draft the guidance

## Timescale

- Priorities informed by survey (early 2019)
- Data collection and analysis (March to October 2019)
- Draft guidance (August 2019 to April 2020)

# Task 4: Capacity Building Support Facility for the Member States on Policy Evaluation and Policy Development

## Objectives:

- To deliver tailored capacity building support to Member States on policy evaluation and policy development

## Outputs:

- Support provided to EU MS upon request. This depends on the set-up of the facility based on the assessment under Task 1 and requests submitted. Illustrative examples of likely outputs include:
  - Design and/or implementation of elements of policy evaluations, including suggested methodologies, tools, historical datasets, baseline scenarios and estimations ...
  - Recommendations for policy options based on screenings / initial assessments, on good practices in existing Member State policies and how to adapt these to the context

## Sub-tasks

- Finalise the design of the support facility
- Provide ad-hoc support to Member States
- Provide more extended support on a specific task

## Potential support available

- 5-20 working days support for a maximum of 10 MS
- In addition, up to 80 days support on one specific task for one MS – results and lessons to be shared

## Timescale

- Ad hoc support for up to 10 MS (May 2019 to September 2020)
- Extended support for one MS (in period from August 2019 to September 2020)

# Task 5: Knowledge Sharing Activities including Workshops

## Objectives:

- Increase capacities of relevant national and sub-national administrations in selecting and implementing effective and cost-efficient policies and measures in effort sharing sectors
- Increase capacity of Member State policy evaluation experts and build a network of evaluation practitioners
- Share best practices in effort sharing sectors as well as the key project outcomes of Tasks 2 to 4 among climate policy makers in Member States
- Receive Member State expert feedback on the deliverables of Tasks 2, 3

## Outputs:

- Three policy related workshops to share best practices in effort sharing sectors, including two workshops outside Brussels
- One training workshop on the evaluation techniques of policies and measures in Brussels or Copenhagen
- Established network of evaluation experts from Member States (Community of Practice)
- Workshop presentations and notes, online library of relevant resources ..

## Sub-tasks

- Organisation and delivery of 3 policy development workshops
- Organisation and delivery of a policy evaluation training workshop
- Build the network of evaluation experts from Member States

## Timescale

- Workshops on policy development and implementation (approx. October 2019, January 2020 and March 2020)
- Training workshop on policy ex-post evaluation (approx. June 2020)
- Build network of evaluators (in period from July 2019 to November 2020)

# Task 6: Communication on the Lessons Learned to Stakeholders and a Broader Public

## Objectives:

- Increase awareness of key results and findings of the project.
- Ensure visibility of this project and stimulate interest in its results among professionals working in the field and the general public.

## Outputs:

- Brochure of 16-20 pages presenting key lessons for the broader public
- Regular news items for publication on the web and social media.

## Sub-tasks

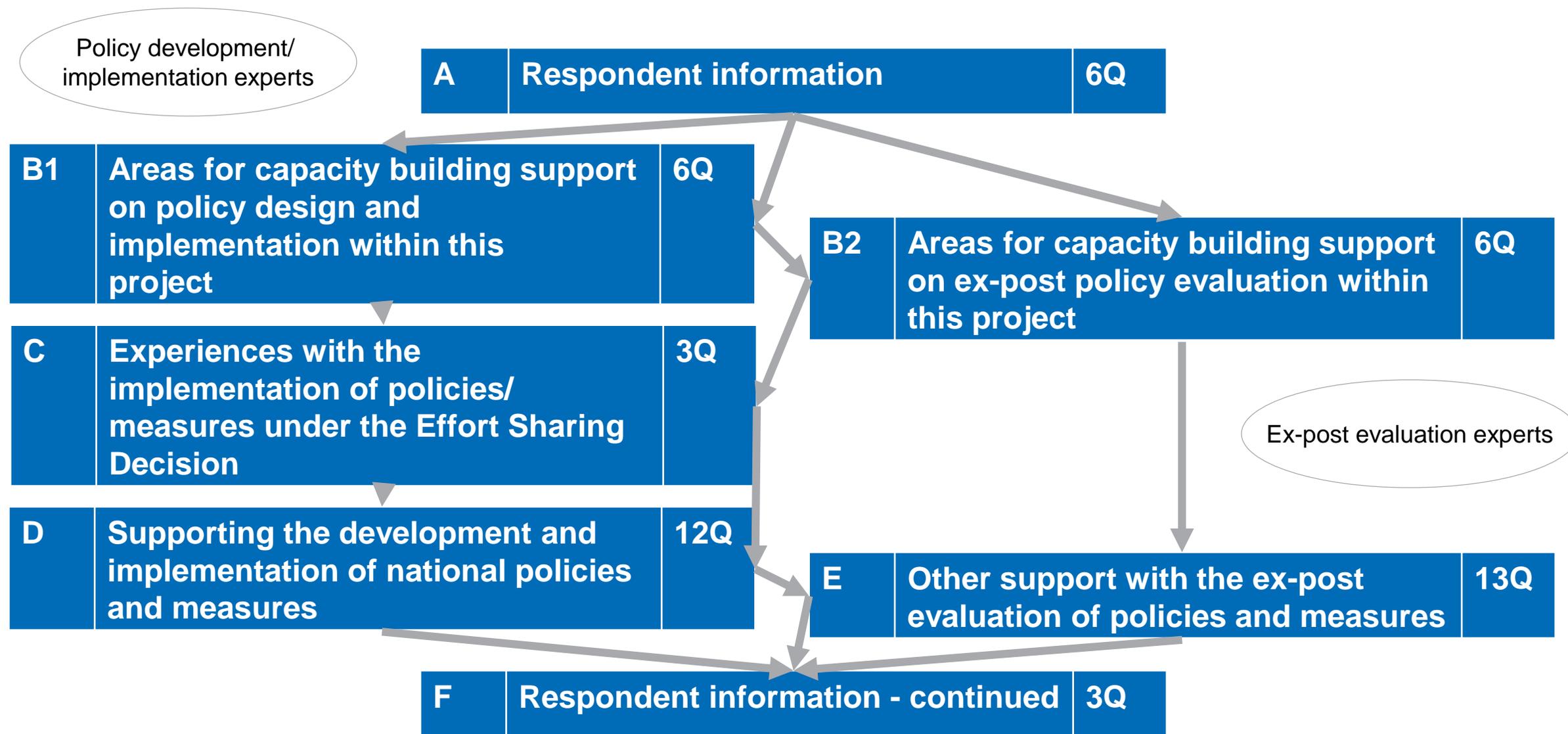
- Preparation of a project website
- Prepare the brochure
- Prepare regular news items

## Timescale

- Website – first half of 2019
- Brochure – September to October 2020
- News items – throughout – as occasions arise

# Survey supporting the study

# Survey structure



A	Respondent information	6Q
<ul style="list-style-type: none"><li>• MS and organisation (Mandatory)</li><li>• Name and email address</li><li>• Main responsibilities and level of governance</li></ul> <p><i>Information on support that could be provided within the project:</i></p> <ul style="list-style-type: none"><li>• <i>Types of support</i></li><li>• <i>Sectors such as:</i><ul style="list-style-type: none"><li>• <i>Agriculture</i></li><li>• <i>Transport</i></li><li>• <i>Buildings</i></li><li>• <i>Waste</i></li><li>• <i>Cross-sectoral aspects such as taxation and other financial instruments</i></li></ul></li></ul> <p><i>Structure of survey and where next?</i></p>		

F	Respondent's information - continued	3Q
<ul style="list-style-type: none"><li>• Consent to contact for queries</li><li>• Consent to approach for an interview</li><li>• Any additional comments</li><li>• Submit</li></ul>		

<b>B1</b>	<b>Areas for capacity building support on policy design and implementation within this project</b>	<b>6Q</b>
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- What level of interest in support by sector?
- What level of interest in support by policy type?
- If interested in support, what specific technical issues and policies?
- And when within support window of approx. May 19 to September 20?

Where next?

<b>B2</b>	<b>Areas for capacity building support on ex-post policy evaluation within this project</b>	<b>6Q</b>
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- What level of interest in support by sector?
- What interest in support by policy type?
- If interested in support, what specific technical issues and policies?
- And when within support window of approx. May 19 to September 20?
- Interest in extended support of up to 80d?

Where next?

<b>C</b>	<b>Experiences with the implementation of policies/ measures under the Effort Sharing Decision</b>	<b>3Q</b>
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- Main challenges encountered?
- How overcome and lessons learned?
- Main challenges for future – like to learn from experience of others?

Where next?

<b>D</b>	<b>Supporting the development and implementation of national policies and measures</b>	<b>First 6Q</b>
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## Case studies and good practice

- Priority for learning from good practice by sector?
- And by policy type?
- Specific examples of policy instruments that could be used in written case studies? (chance to add links and upload report files)

<b>E</b>	<b>Other support with the ex-post evaluation of policies and measures</b>	<b>First 8Q</b>
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## **Good practice examples of ex-post evaluation**

- Specific examples of good examples ex-post evaluations (chance to add links and upload report files)

## **Further guidance**

- Level of interest in guidance on ex-post evaluation by evaluation issue e.g. defining baselines/ counterfactuals (17 suggested issues + “other”)
- Any existing national guidelines? (chance to add links and upload report files)

<b>D</b>	<b>Supporting the development and implementation of national policies and measures</b>	<b>First 6Q</b>
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## Knowledge sharing workshops

- Objective for attending a policy-related workshop?
- Interested in presenting experiences on a policy? If so which policy?
- Preference for focus of policy related workshop?
- Who from MS should be invited?
- Details of up to 10 possible attendees?

Where next?

<b>E</b>	<b>Other support with the ex-post evaluation of policies and measures</b>	<b>Last 5Q</b>
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## Training workshop

- What is level of interest in attending a training workshop on ex-post evaluation by sector?

## Evaluation network

- Interested?
- Level of interest in potential network activities? (5 options = “other”)?
- Already a member of an evaluation network? If so, which network?

Where next?

## And finally



- As the survey responses will be used to guide the support and guidance provided
  - Please forward the survey to other policy evaluation experts, where relevant
  - **Please return the survey by the end of 8<sup>th</sup> March**

# Questions



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